

SABER-Engaging the Private Sector: Policy Intent Data Collection Tool

Procedure for distinguishing school types and questionnaire completion

Independent Private Schools

1. Does government law/policy allow for schools that are owned, operated, AND financed completely independent of government control?
 - a. Yes – There are independent private schools. **Complete Questionnaire 1.**
Answer question 2.
 - b. No – There are no independent private schools. Answer question 2.

Government-Funded Private Schools

2. Do any government laws/policies allow for public funds/resources to be provided to private schools, where funding is not dependent on student choice of the school?
 - a. Yes – There are government-funded private schools. **Complete Questionnaire 2.**
Answer question 3.
 - b. No – There are no government-funded private schools. Answer question 3.

Privately Managed Schools

3. Does government law/policy allow for the private operation or management of publicly owned schools?
 - c. Yes – There are privately managed schools. **Complete Questionnaire 3.**
Answer question 4.
 - d. No – There are no privately managed schools. Answer question 4.

Voucher Schools

4. Does government law/policy allow public funding to follow the student to the school of their choice?
 - e. Yes – There are voucher schools. **Complete Questionnaire 4.**
 - f. No – There are no voucher schools.

Please complete the corresponding questionnaires and the overview questions (p. 48).

Questionnaire 1 - Independent Private Schools

Encouraging innovation by providers

Teacher standards

1. Who has legal authority to set teacher standards (e.g., teaching certification, years of experience, etc.)?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Teacher appointment and deployment of teachers

2. Who has legal authority to appoint and deploy teachers?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Teacher salaries

3. Who has legal authority to determine teacher salary levels?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Teacher dismissal

4. Who has legal authority to dismiss teachers?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Curriculum delivery

- 5. Who has legal authority to determine how curriculum is delivered (e.g., pedagogy, number of hours, learning materials)?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Classroom resourcing

- 6. Who has legal authority to determine maximum class size?
 - a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify the maximum class size, if applicable: _____

Holding Schools Accountable

Student standards

- 7. Does government set standards on what students need to learn and by when?
 - a. No
 - b. Yes -- please specify the standards: _____

Student assessment

- 8. What is the current policy on standardized exams?
 - a. Students do not take standardized exams
 - b. Standardized exams are administered in select grades, but not annually.
 - c. Standardized exams are administered in select grades annually.
 - d. Standardized exams are administered in select grades annually, and results are disaggregated

- I. Please specify all grades in which standardized exams are administered and the name of the corresponding exam.
 - a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____

- II. Standardized exam results are disaggregated by (tick all that apply)...
 - a. School
 - b. Student socioeconomic background
 - c. Gender
 - d. Other, please stipulate _____

Inspection

- 9. Does government require schools to undergo an inspection?
 - a. No
 - b. Yes -- What is the name of the government department or agency responsible for school inspections? _____

- I. If yes, what is the frequency of inspection?
 - a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Improvement planning

- 10. Does the inspection report outline the strengths and weaknesses of the school?
 - a. No
 - b. Yes

- I. Does the inspection report recommend specific priorities for school improvement?
 - a. No
 - b. Yes

- II. Are private schools required to submit a school improvement plan following the inspection recommendations?
 - a. No
 - b. Yes

Sanctions

11. Are sanctions administered based on the results of school inspections or performance on standardized exams?
- a. No
 - b. Yes -- please describe the type of sanctions (e.g., school closure, higher level of supervision, fines, loss of registration, change in school leadership etc.) and the established criteria for their administration (scoring below x percent on the standardized exam, failure of two consecutive school inspections, etc.)

Empowering parents, students and communities

Information

12. What is the current policy on providing information to parents/students on the results of standardized exams?
- a. No information on standardized exam results is made available to parents
 - b. Ad hoc information on standardized exam results is made available to parents
 - c. Annual information on standardized exam results is made available to parents. Data are not disaggregated (by school, socioeconomic background, gender, other types of disadvantage, etc.)
 - d. Disaggregated information (by school, socioeconomic background, gender, other types of disadvantage, etc.) on standardized exam results is made available to parents annually
- I. Please specify all grades for which student performance data is made accessible, the name of the corresponding exam, and the frequency with which results are made available to parents (yearly, every two years, ad hoc basis, etc.)
- a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____

- II. Information is made available from which of the following sources?
 - a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____

- III. Are schools ranked based on their standardized exam performance?
 - a. No
 - b. Yes

- IV. Are there any programs in place to provide information on standardized exam results to hard-to-reach groups?
 - a. No
 - b. Yes -- please describe:

- 13. Is the government required to give parents/students access to inspection reports to allow them to make an informed decision when choosing the school?
 - a. No
 - b. Yes

- I. Do the inspection reports include assessments of the following? (Tick all that apply):
 - a. School leadership and management
 - b. Teaching and learning
 - c. Finance
 - d. Infrastructure
 - e. Parental engagement
 - f. Pupil learning achievement in national exams or passing grades or something within these lines

- II. Information is made available from which of the following sources?
 - a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____

- III. Are schools ranked according to the results of school inspections?
 - a. No
 - b. Yes

- IV. Are there any programs in place to provide information on inspection results to hard-to-reach groups?
 - a. No
 - b. Yes -- please describe:

Voice

- 14. Are students interviewed as part of the inspection process?
 - a. No
 - b. Yes

- 15. Are parents interviewed as part of the inspection process?
 - a. No
 - b. Yes

Financial Support

- 16. Does the government provide tax subsidies or cash transfers for families attending independent private schools?
 - a. No
 - b. Yes -- please describe the tax subsidy or cash transfer program.

Promoting Diversity of Supply

Tuition fees

17. Who has legal authority to determine tuition fee standards?
- a. Government sets standardized fees
 - b. Government does not set standardized tuition fees but imposes cap (overall amount or % increases on tuitions fees) – please stipulate
-
- c. Schools set fees, but are subject to review from government
 - d. Schools set fees without any review from government

Ownership

18. Which of the following organizations have legal authority to operate a school (tick all that apply)?
- a. Community
 - b. Not-for-profit
 - c. Faith-based
 - d. For-profit

Certification standards

19. Are there minimum standards for registration or for independent private schools to be allowed to operate?
- a. No
 - b. Yes

I. What are the criteria for school registration? Are any of the following required for a school to operate? (*Tick all that apply and describe where appropriate*)

	Independent private schools		Public schools	
	Yes/No	If yes, please describe	Yes/No	If yes, please describe
School playground/minimum outdoor space				
Head teacher certification, training, or minimum years of experience				
Internet/computers				
Medical services (e.g., school nurse, sick bay)				
Science labs or other dedicated classrooms				
Ownership of land				
Ownership of building				
Library				
Certain equipment				
Minimum capital				
Number/size of classrooms				
Any others, please specify				

Market entry information

20. Are there clearly publicized guidelines from government outlining the steps or requirements for independent private schools to receive registration/authorization?

- a. No
- b. Yes

I. How are registration guidelines shared with potential private school providers?

- a. Only upon request
- b. Provided to associations
- c. Published in law/decreree/regulation/gazette – Please indicate name and date of law:

- d. Brochures, boards or other public notices at the agency’s office
- e. Online – please indicate the website:_____
- f. Other – please describe:_____

II. What procedures/steps are required to set up a private school?

	Description of procedure	Relevant agency	Duration in days
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			

Questionnaire 2 - Government-Funded Private Schools

Encouraging innovation by providers

Teacher Standards

22. Who has legal authority to set teacher standards (e.g., teaching certification, years of experience, etc.)?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher appointment and deployment

23. Who has legal authority to appoint and deploy teachers?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher salary

24. Who has legal authority to determine teacher salary levels?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher dismissal

25. Who has legal authority to dismiss teachers?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Curriculum delivery

26. Who has legal authority to determine how curriculum is delivered (e.g., pedagogy, number of hours, learning materials)?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Classroom resourcing

27. Who has legal authority to determine maximum class size?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify the maximum class size, if applicable: _____

Budget autonomy

28. Who has legal authority over the management of school operating budgets?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify any rules pertaining to budget allocations, if applicable: _____

Holding Schools Accountable

Student standards

29. Does government set standards on what students need to learn and by when?
- a. Yes -- please specify the standards: _____
 - b. No

Student assessment

30. What is the current policy on standardized exams?
- a. Students do not take standardized exams
 - b. Standardized exams are administered in select grades, but not annually.
 - c. Standardized exams are administered in select grades annually.
 - d. Standardized exams are administered in select grades annually, and results are disaggregated
- I. Please specify all grades in which standardized exams are administered and the name of the corresponding exam.
- a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____
- II. Standardized exam results are disaggregated by: (tick all that apply)
- a. School
 - b. Student socioeconomic background
 - c. Gender
 - d. Other, please stipulate _____

Financial reporting

31. Are government-funded private schools required to report to government on the use of public funds as a condition for the continuation of funding?
- a. No
 - b. Yes
- I. What is the frequency of reporting?
- a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Inspection

32. Does government require schools to undergo an inspection?
- a. No
 - b. Yes -- What is the name of the government department or agency responsible for school inspections? _____

- I. If yes, what is the frequency of inspection?
 - a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Improvement planning

- 33. Does the inspection report outline the strengths and weaknesses of the school?
 - a. No
 - b. Yes

- I. Does the inspection report recommend specific priorities for school improvement?
 - a. No
 - b. Yes

- II. Are private schools required to submit a school improvement plan following the inspection recommendations?
 - a. No
 - b. Yes

Sanctions and rewards

- 34. Are sanctions administered to government-funded private schools based on the results of school inspections or performance on standardized exams?
 - a. No
 - b. Yes -- Please describe the type of sanctions (e.g., school closure, higher level of supervision, fines, loss of registration, change in school leadership, etc.) and the established criteria for their administration (scoring below x percent on the standardized exam, failure of two consecutive school inspections, etc.)

- 35. Are rewards offered to government-funded private schools based on the results of school inspections or performance on standardized exams?
 - a. No
 - b. Yes -- please describe the type of rewards (e.g., continued funding, increased funding, teacher rewards, etc.) and the established criteria for their administration (scoring above x percent on the standardized exam, high results on school inspections, etc.)

Empowering parents, students and communities

Information

36. What is the current policy on providing information to parents/students on the results of standardized exams?
- a. No information on standardized exam results is made available to parents
 - b. Ad hoc information on standardized exam results is made available to parents
 - c. Annual information on standardized exam results is made available to parents. Data are not disaggregated (by school, socioeconomic background, gender, other types of disadvantage, etc.)
 - d. Disaggregated information (by school, socioeconomic background, gender, other types of disadvantage, etc.) on standardized exam results is made available to parents annually
- I. Please specify all grades for which student performance data is made accessible, the name of the corresponding exam, and the frequency with which results are made available to parents (yearly, every two years, ad hoc basis, etc.)
- a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____
- II. Information is made available from which of the following sources?
- a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____
- III. Are schools ranked based on their standardized exam performance?
- a. No
 - b. Yes
- IV. Are there any programs in place to provide information on standardized exam results to hard-to-reach groups?
- a. No
 - b. Yes -- please describe:

37. Is the government required to give parents/students access to inspection reports to allow them to make an informed decision when choosing the school?
- a. No
 - b. Yes
- I. Do the inspection reports include assessments of the following? (tick all that apply)
- a. School leadership and management
 - b. Teaching and learning
 - c. Finance
 - d. Infrastructure
 - e. Parental engagement
 - f. Pupil learning achievement in national exams or passing grades or something within these lines
- II. Information is made available from which of the following sources?
- a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____
- III. Are schools ranked according to the results of school inspections?
- a. No
 - b. Yes
- IV. Are there any programs in place to provide information on inspection results to hard-to-reach groups?
- a. No
 - b. Yes -- please describe:

Voice

38. Are students interviewed as part of the inspection process?
- a. No
 - b. Yes

39. Are parents interviewed as part of the inspection process?
- a. No
 - b. Yes

Selection

40. Are schools allowed to apply selective admission criteria when selecting students?
- a. No
 - b. Yes
- I. Are schools allowed to select students based on academic performance?
- a. No
 - b. Yes
- II. Are schools allowed to select students based on geography (school zones/distance from school)?
- a. No
 - b. Yes
41. If schools are oversubscribed, are they required to use a lottery to admit students?
- a. Yes
 - b. No -- please stipulate the criteria used to allocate places to oversubscribed schools (e.g., disability, first-come first-served, sibling at school, low income, etc.)

Contributions

42. Schools are allowed to charge which of the following fees or accept contributions from parents (tick all that apply)
- a. None
 - b. Compulsory contributions (additional fees are required for child to attend the school)
 - c. Voluntary contributions (additional fees may be required for certain activities within the school)
- I. If voluntary contributions are allowed, please stipulate the type of voluntary fees (extracurricular activities, exam fees, use of learning materials, etc.)

Promoting Diversity of Supply

Ownership

43. Which of the following organizations have legal authority to operate a school? (tick all that apply)
- a. Community
 - b. Not-for-profit
 - c. Faith-based
 - d. For-profit

Certification standards

44. Are there minimum standards for registration or for schools to be allowed to operate?
- a. No
 - b. Yes

I. What are the criteria for school registration? Are any of the following required for a school to operate? (*Tick all that apply and describe where appropriate*)

	Government-funded private schools		Public schools	
	Yes/No	If yes, please describe	Yes/No	If yes, please describe
School playground/minimum outdoor space				
Head teacher certification, training, or minimum years of experience				
Internet/computers				
Medical services (e.g., school nurse, sick bay)				
Science labs				
Ownership of land				
Ownership of building				
Library				
Certain equipment				
Minimum capital				
Number/size of classrooms				
Any others, please specify				

Market entry information

45. Are there clearly publicized guidelines from government outlining the steps or requirements for a government-funded private school to receive registration/authorization?

- a. No
- b. Yes

I. How are registration guidelines shared with potential providers?

- a. Only upon request
- b. Provided to private school associations
- c. Published in law/decreed/regulation/gazette – Please indicate name and date of law:

- d. Brochures, boards or other public notices at the agency’s office
- e. Online – please indicate the website:_____
- f. Other – please describe:_____

II. What procedures/steps are required to set up a private school?

	Description of procedure	Relevant agency	Duration in days
1			
2			
3			
4			
5			
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10			
11			
12			

Incentives

50. Do government-funded private schools receive any startup funding/grants?
- a. No
 - b. Yes
- I. If yes, from which source
- a. Central government
 - b. Regional/municipal government
 - c. Other, please specify _____
- II. Do government-funded private schools receive equal startup funding to public schools?
- a. Yes
 - b. No – please stipulate whether government-funded private schools receive more or less than public schools _____
- III. Please specify amount of funding per academic year (in local currency):
- a. Public schools _____
 - b. Government-funded private schools _____
51. Do government-funded private schools have equal access to state facilities/land available to public schools?
- a. No
 - b. Yes please describe
- _____
- _____

Planning

52. How far in advance of the beginning of the academic year are government-funded private schools provided information on the amount of their upcoming government funding?
- a. Less than 1 month
 - b. 1-3 months
 - c. 4-6 months
 - d. More than 6 months

Questionnaire 3 - Privately Managed Schools

Encouraging innovation by providers

Teacher Standards

53. Who has legal authority to set teacher standards (e.g., teaching certification, years of experience, etc.)?
- e. Central government
 - f. Regional/municipal government, with final review from central authority
 - g. Regional/municipal government, without final review from central authority
 - h. School (School council, principal, parent association), without government review OR there is no established policy

Teacher appointment and deployment

54. Who has legal authority to appoint and deploy teachers?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Teacher salaries

55. Who has legal authority to determine teacher salary levels?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Teacher dismissal

56. Who has legal authority to dismiss teachers?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Curriculum delivery

57. Who has legal authority to determine how curriculum is delivered (e.g., pedagogy, number of hours, learning materials)?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

Classroom resourcing

58. Who has legal authority to determine maximum class size?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify the maximum class size, if applicable: _____

Budget autonomy

59. Who has legal authority over the management of school operating budgets?
- a. Central government
 - b. Regional/municipal government, with final review from central authority
 - c. Regional/municipal government, without final review from central authority
 - d. School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify any rules pertaining to budget allocations, if applicable: _____

Holding Schools Accountable

Student standards

60. Does government set standards on what students need to learn and by when?
- a. Yes -- please specify the standards: _____
 - b. No

Student assessment

61. What is the current policy on standardized exams?
- a. Students do not take standardized exams
 - b. Standardized exams are administered in select grades, but not annually.
 - c. Standardized exams are administered in select grades annually.
 - d. Standardized exams are administered in select grades annually, and results are disaggregated
- I. Please specify all grades in which standardized exams are administered and the name of the corresponding exam.
- a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____
- II. Standardized exam results are disaggregated by (tick all that apply)...
- a. School
 - b. Student socioeconomic background
 - c. Gender
 - d. Other, please stipulate _____

Financial reporting

62. Are privately managed schools required to report to government on the use of public funds as a condition for the continuation of funding?
- c. No
 - d. Yes
- I. If yes, what is the frequency of reporting?
- a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Inspection

63. Does government require schools to undergo an inspection?
- a. No
 - b. Yes -- What is the name of the government department or agency responsible for school inspections? _____
- I. If yes, what is the frequency of inspection?
- a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Improvement planning

64. Does the inspection report outline the strengths and weaknesses of the school?
- a. No
 - b. Yes
- I. Does the inspection report recommend specific priorities for school improvement?
- a. No
 - b. Yes
- II. Are private schools required to submit a school improvement plan following the inspection recommendations?
- a. No
 - b. Yes

Sanctions and rewards

65. Are sanctions administered based on the results of school inspections or performance on standardized exams?
- a. No
 - b. Yes -- Please describe the type of sanctions (e.g., school closure, higher level of supervision, fines, loss of registration, change in school leadership, etc.) and the established criteria for their administration (scoring below x percent on the standardized exam, failure of two consecutive school inspections, etc.)

66. Are rewards offered based on the results of school inspections or performance on standardized exams?
- a. No
 - b. Yes -- please describe the type of rewards (e.g., continued funding, increased funding, teacher rewards, etc.) and the established criteria for their administration (scoring above x percent on the standardized exam, high results on school inspections, etc.)
-
-

Empowering parents, students and communities

Information

67. What is the current policy on providing information to parents/students on the results of standardized exams?
- a. No information on standardized exam results is made available to parents
 - b. Ad hoc information on standardized exam results is made available to parents
 - c. Annual information on standardized exam results is made available to parents. Data are not disaggregated (by school, socioeconomic background, gender, other types of disadvantage, etc.)
 - d. Disaggregated information (by school, socioeconomic background, gender, other types of disadvantage, etc.) on standardized exam results is made available to parents annually
- I. Please specify all grades for which student performance data is made accessible, the name of the corresponding exam, and the frequency with which results are made available to parents (yearly, every two years, ad hoc basis, etc.)
- a. Grade _____ Name of exam _____
 - b. Grade _____ Name of exam _____
 - c. Grade _____ Name of exam _____
 - d. Grade _____ Name of exam _____
 - e. Grade _____ Name of exam _____
- II. Information is made available from which of the following sources?
- a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____

- III. Are schools ranked based on their standardized exam performance?
 - a. No
 - b. Yes

- IV. Are there any programs in place to provide information on standardized exam results to hard-to-reach groups?
 - a. No
 - b. Yes -- please describe:

68. Is the government required to give parents/students access to inspection reports to allow them to make an informed decision when choosing the school?

- a. No
 - b. Yes
-
- I. Do the inspection reports include assessments of the following? (Tick all that apply):
 - a. School leadership and management
 - b. Teaching and learning
 - c. Finance
 - d. Infrastructure
 - e. Parental engagement
 - f. Pupil learning achievement in national exams or passing grades or something within these lines

 - II. Information is made available from which of the following sources? (Tick all that apply):
 - a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____

 - III. Are schools ranked according to the results of school inspections?
 - a. No
 - b. Yes

- IV. Are there any programs in place to provide information on inspection results to hard-to-reach groups?
 - a. No
 - b. Yes -- please describe:

Voice

- 69. Are students interviewed as part of the inspection process?
 - a. No
 - b. Yes

- 70. Are parents interviewed as part of the inspection process?
 - a. No
 - b. Yes

Selection

- 71. Are schools allowed to apply selective admission criteria when selecting students?
 - a. No
 - b. Yes

- I. Are schools allowed to select students based on academic performance?
 - a. Yes
 - b. No

- II. Are schools allowed to select students based on geography (school zones/distance from school)?
 - a. Yes
 - b. No

- 72. If schools are oversubscribed, are they required to use a lottery to admit students?
 - a. Yes
 - b. No -- please stipulate the criteria used to allocate places to oversubscribed schools (e.g., disability, first-come first-served, sibling at school, low income, etc.)

Contributions

- 73. Schools are allowed to charge which of the following fees or accept contributions from parents (tick all that apply)

- a. None
- b. Compulsory contributions (additional fees are required for child to attend the school)
- c. Voluntary contributions (additional fees may be required for certain activities within the school)

I. If voluntary contributions are allowed, please stipulate the type of voluntary fees (extracurricular activities, exam fees, use of learning materials, etc.)

Promoting Diversity of Supply

Coverage

74. Are there restrictions on the number of students who can enroll in privately managed schools?

- a. No
- b. Yes – please stipulate the details of the restrictions:

75. Are there restrictions on the number of privately managed schools and/or number of school applications?

- a. No
- b. Yes – please stipulate the details of the restrictions:

76. Are there geographical restrictions as to where privately managed schools can be set up (certain states/cities/districts)?

- a. No
- b. Yes – please stipulate the details of the restrictions:

77. Are new privately managed schools allowed to be established (that is, a provider can start a new privately managed school that did not previously exist)?

- a. Yes
- b. No

78. Are existing public schools permitted to convert to private management status?
- a. Yes
 - b. No

79. Are existing private schools permitted to convert to private management status?
- a. Yes
 - b. No

Ownership

80. Which of the following organizations have legal authority to operate a school? (Tick all that apply):
- a. Community
 - b. Not-for-profit
 - c. Faith-based
 - d. For-profit

Certification standards

81. Are there minimum standards for registration in order for privately managed schools to be allowed to operate?
- a. No
 - b. Yes

82. What are the criteria for school registration? Are any of the following required for a school to operate?
(Tick all that apply and describe where appropriate)

	Privately managed schools		Public schools	
	Yes/No	If yes, please describe	Yes/No	If yes, please describe
School playground/minimum outdoor space				
Head teacher certification, training, or minimum years of experience				
Internet/computers				
Medical services (e.g., school nurse, sick bay)				
Science labs				
Ownership of land				
Ownership of building				
Library				
Certain equipment				
Minimum capital				
Number/size of classrooms				
Any others, please specify				

Market entry information

83. Are there clearly publicized guidelines from government outlining the steps or requirements for a privately managed school to receive registration/authorization?

- a. No
- b. Yes

I. How are registration guidelines shared with potential providers?

- a. Only upon request
- b. Provided to associations
- c. Published in law/decreed/regulation/gazette – Please indicate name and date of law:

- d. Brochures, boards or other public notices at the agency’s office
- e. Online – please indicate the website:_____
- f. Other – please describe:_____

II. What procedures/steps are required to set up a private school?

	Description of procedure	Relevant agency	Duration in days
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			

Incentives

88. Do privately managed schools receive any startup funding/grants?
- a. No
 - b. Yes
- I. If yes, from which source
- a. Central government
 - b. Regional/municipal government
 - c. Other, please specify _____
- II. Do privately managed schools receive equal startup funding to public schools?
- a. Yes
 - b. No – please stipulate whether privately managed schools receive more or less than public schools _____
- III. Please specify amount of funding (in local currency):
- a. Public schools _____
 - b. Privately managed schools _____
89. Do privately managed schools have equal access to state facilities/land available to public schools?
- a. No
 - b. Yes- please describe
- _____
- _____

Planning

90. How far in advance of the beginning of the academic year are privately managed schools provided information on the amount of their upcoming government funding?
- a. Less than 1 month
 - b. 1-3 months
 - c. 4-6 months
 - d. More than 6 months

Questionnaire 4 - Vouchers Schools

Encouraging innovation by providers

Teacher Standards

91. Who has legal authority to set teacher standards (e.g., teaching certification, years of experience, etc.)?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher appointment and deployment

92. Who has legal authority to appoint and deploy teachers?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher salary

93. Who has legal authority to determine teacher salary levels?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Teacher dismissal

94. Who has legal authority to dismiss teachers?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Curriculum delivery

95. Who has legal authority to determine how curriculum is delivered (e.g., pedagogy, number of hours, learning materials)?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

Classroom resourcing

96. Who has legal authority to determine maximum class size?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify the maximum class size, if applicable: _____

Budget autonomy

97. Who has legal authority over the management of school operating budgets?
- Central government
 - Regional/municipal government, with final review from central authority
 - Regional/municipal government, without final review from central authority
 - School (School council, principal, parent association), without government review OR there is no established policy

I. Please specify any rules pertaining to budget allocations, if applicable: _____

Holding Schools Accountable

Student standards

98. Does government set standards on what students need to learn and by when?
- Yes -- please specify the standards: _____
 - No

Student assessment

99. What is the current policy on standardized exams?
- a. Students do not take standardized exams
 - b. Standardized exams are administered in select grades, but not annually.
 - c. Standardized exams are administered in select grades annually.
 - d. Standardized exams are administered in select grades annually, and results are disaggregated
- I. Please specify all grades in which standardized exams are administered and the name of the corresponding exam.
- | | |
|----------------|--------------------|
| a. Grade _____ | Name of exam _____ |
| b. Grade _____ | Name of exam _____ |
| c. Grade _____ | Name of exam _____ |
| d. Grade _____ | Name of exam _____ |
| e. Grade _____ | Name of exam _____ |
- II. Standardized exam results are disaggregated by (tick all that apply)...
- a. School
 - b. Student socioeconomic background
 - c. Gender
 - d. Other, please stipulate _____

Financial reporting

100. Are voucher schools required to report to government on the use of public funds as a condition for the continuation of funding?
- a. No
 - b. Yes
- I. If yes, what is the frequency of reporting?
- a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Inspection

101. Does government require schools to undergo an inspection?
- a. No
 - b. Yes -- What is the name of the government department or agency responsible for school inspections? _____
- I. If yes, what is the frequency of inspection?
- a. No standard timetable
 - b. Frequency is dependent on previous reporting performance or school need
 - c. Standard term, please stipulate (e.g., monthly, termly, every three years):

Improvement planning

102. Does the inspection report outline the strengths and weaknesses of the school?
- a. No
 - b. Yes
- I. Does the inspection report recommend specific priorities for school improvement?
- a. No
 - b. Yes
- II. Are private schools required to submit a school improvement plan following the inspection recommendations?
- a. No
 - b. Yes

Sanctions and rewards

103. Are sanctions administered to voucher schools based on the results of school inspections or performance on standardized exams?
- a. No
 - b. Yes -- Please describe the type of sanctions (e.g., school closure, higher level of supervision, fines, loss of registration, change in school leadership, etc.) and the established criteria for their administration (scoring below x percent on the standardized exam, failure of two consecutive school inspections, etc.)

104. Are rewards offered to voucher schools based on the results of school inspections or performance on standardized exams?
- No
 - Yes -- please describe the type of rewards (e.g., continued funding, increased funding, teacher rewards, etc.) and the established criteria for their administration (scoring above x percent on the standardized exam, high results on school inspections, etc.)
-
-

Empowering parents, students and communities

Information

105. What is the current policy on providing information to parents/students on the results of standardized exams?
- No information on standardized exam results is made available to parents
 - Ad hoc information on standardized exam results is made available to parents
 - Annual information on standardized exam results is made available to parents. Data are not disaggregated (by school, socioeconomic background, gender, other types of disadvantage, etc.)
 - Disaggregated information (by school, socioeconomic background, gender, other types of disadvantage, etc.) on standardized exam results is made available to parents annually
- I. Please specify all grades for which student performance data is made accessible, the name of the corresponding exam, and the frequency with which results are made available to parents (yearly, every two years, ad hoc basis, etc.)
- Grade _____ Name of exam _____
 - Grade _____ Name of exam _____
 - Grade _____ Name of exam _____
 - Grade _____ Name of exam _____
 - Grade _____ Name of exam _____
- II. Information is made available from which of the following sources?
- School notice boards
 - Government website
 - Letters to parents
 - School newsletter
 - Inspection website
 - Newspaper
 - Social media
 - PTA meetings
 - SMS messages
 - Other: please specify _____

- III. Are schools ranked based on their standardized exam performance?
 - a. No
 - b. Yes

- IV. Are there any programs in place to provide information on standardized exam results to hard-to-reach groups?
 - a. No
 - b. Yes -- please describe:

106. Is the government required to give parents/students access to inspection reports to allow them to make an informed decision when choosing the school?

- a. No
- b. Yes

- I. Do the inspection reports include assessments of the following? (Tick all that apply):
 - a. School leadership and management
 - b. Teaching and learning
 - c. Finance
 - d. Infrastructure
 - e. Parental engagement
 - f. Pupil learning achievement in national exams or passing grades or something within these lines

- II. Information is made available from which of the following sources?
 - a. School notice boards
 - b. Government website
 - c. Letters to parents
 - d. School newsletter
 - e. Inspection website
 - f. Newspaper
 - g. Social media
 - h. PTA meetings
 - i. SMS messages
 - j. Other: please specify _____

- III. Are schools ranked according to the results of school inspections?
 - a. No
 - b. Yes

IV. Are there any programs in place to provide information on inspection results to hard-to-reach groups?

- a. No
- b. Yes -- please describe:

Voice

107. Are students interviewed as part of the inspection process?

- a. No
- b. Yes

108. Are parents interviewed as part of the inspection process?

- a. No
- b. Yes

Selection

109. Are schools allowed to apply selective admission criteria when selecting students?

- a. No
- b. Yes

I. Are schools allowed to select students based on academic performance?

- a. Yes
- b. No

II. Are schools allowed to select students based on geography (school zones/distance from school)?

- a. Yes
- b. No

110. If schools are oversubscribed, are they required to use a lottery to admit students?

- a. Yes
- b. No -- please stipulate the criteria used to allocate places to oversubscribed schools (e.g., disability, first-come first-served, sibling at school, low income, etc.)

Contributions

111. Schools are allowed to charge the following fees or accept contributions from parents (tick all that apply)
- a. None
 - b. Compulsory contributions (additional fees are required for child to attend the school)
 - c. Voluntary contributions (additional fees may be required for certain activities within the school)
- I. If voluntary contributions are allowed, please stipulate the type of voluntary fees (extracurricular activities, exam fees, use of learning materials, etc.)
-
-

Promoting Diversity of Supply

Ownership

112. Which of the following organizations have legal authority to operate a school (tick all that apply)?
- a. Community
 - b. Not-for-profit
 - c. Faith-based
 - d. For-profit

Certification standards

113. Are there minimum standards for registration in order for schools to be allowed to operate?

- a. No
- b. Yes

I. What are the criteria for school registration? Are any of the following required for a school to operate? (Tick all that apply and describe where appropriate)

	Voucher schools		Public schools	
	Yes/No	If yes, please describe	Yes/No	If yes, please describe
School playground/minimum outdoor space				
Head teacher certification, training, or minimum years of experience				
Internet/computers				
Medical services (e.g., school nurse, sick bay)				
Science labs				
Ownership of land				
Ownership of building				
Library				
Certain equipment				
Minimum capital				
Number/size of classrooms				
Any others, please specify				

Market entry information

114. Are there clearly publicized guidelines from government outlining the steps or requirements for a voucher school to receive registration/authorization?

- a. No
- b. Yes

I. How are registration guidelines shared with potential private school providers?

- a. Only upon request
- b. Provided to associations
- c. Published in law/decreree/regulation/gazette – Please indicate name and date of law:

- d. Brochures, boards or other public notices at the agency’s office
- e. Online – please indicate the website:_____
- f. Other – please describe:_____

II. What procedures/steps are required to set up a private school?

	Description of procedure	Relevant agency	Duration in days
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			

Regulatory fees

115. Are voucher schools required to pay any of the following fees in order to operate? (tick all that apply and enter amount)
- | | |
|-----------------------------------|--------------|
| a. Information fee | Amount _____ |
| b. Administration fee | Amount _____ |
| c. Ongoing certification fee | Amount _____ |
| d. Name search fee | Amount _____ |
| e. Structural site inspection fee | Amount _____ |
| f. Inspection fee | Amount _____ |
| g. Other stipulate | Amount _____ |

Funding

116. Does the government provide equivalent funding of academic budgets (teaching salaries, learning materials, technology) for public and voucher schools?
- Yes
 - No – please stipulate whether voucher schools receive more or less than public schools

- I. Please specify amount of funding(in local currency)::
- Public schools _____
 - Voucher schools _____

117. Does the government provide equivalent funding of non-academic budgets (facilities, transport, utilities, infrastructure, etc.) for public and voucher schools?
- Yes
 - No- please stipulate whether voucher schools receive more or less than public schools

- I. Please specify amount of funding (in local currency):
- Public schools _____
 - Voucher schools _____

118. Does the government increase funding per-student to voucher schools to meet specific student needs (special educational needs, low income background, etc.)?
- No
 - Yes – please specify the amount and nature of this per-student funding

Incentives

119. Do voucher schools receive any startup funding/grants?
- a. No
 - b. Yes
- I. If yes, from which source?
- a. Central government
 - b. Regional/municipal government
 - c. Other, please specify _____
- II. Do voucher receive equal startup funding to public schools?
- a. Yes
 - b. No – please stipulate whether voucher schools receive more or less than public schools

- III. Please specify amount of funding per academic year (in local currency):
- a. Public schools _____
 - b. Voucher schools _____

Planning

120. How far in advance of the beginning of the academic year are voucher schools provided information on the amount of their upcoming government funding?
- a. Less than 1 month
 - b. 1-3 months
 - c. 4-6 months
 - d. More than 6 months

Overview Questions

1. What are the latest education strategy documents or national policy documents (please list)?

2. What is the structure of the school system, including ages/key stages (please adapt as appropriate)?

Stage	Age
Primary	
Lower Secondary	
Secondary	

3. Which Ministry department is responsible for private/ non-state schools?

4. What is the amount of per student funding at the primary level?

- a. Public schools _____
- b. Government-funded private schools _____
- c. Privately managed schools _____
- d. Voucher schools _____

5. What is the amount of per student funding at the secondary level?

- a. Public schools _____
- b. Government-funded private schools _____
- c. Privately managed schools _____
- d. Voucher schools _____

6. Net enrollment

- a. Primary level: _____
- b. Secondary Level: _____

7. Private enrollment – all private enrollments

- a. Primary level: _____
- b. Secondary Level: _____

8. Enrollment in government-funded private institutions
- a. Primary level: _____
 - b. Secondary Level: _____

9. Enrollment in independent private institutions
- a. Primary level: _____
 - b. Secondary Level: _____

10. Are there any documents which estimate the size or the performance of the private school sector (including low cost private schools) in the country? Please list the relevant documents?

11. Please list the private sector school association, chains of schools, or other bodies supporting non state schools operating in the country _____

12. Please list the number and/or percentage of **primary** schools in the country by ownership type:
- a. Public _____
 - b. For-profit _____
 - c. NGO/not-for-profit _____
 - d. Faith-based _____
 - e. Community _____
 - f. Other _____

13. Please list the number and/or percentage of **secondary** schools in the country by ownership type:
- a. Public _____
 - b. For-profit _____
 - c. NGO/not-for-profit _____
 - d. Faith-based _____
 - e. Community _____
 - f. Other _____

14. Please list the number and/or percentage of **primary** schools in the country by school type:
- a. Public _____
 - b. Independent private schools _____
 - c. Government-funded private schools _____

- d. Privately managed schools _____
- e. Voucher schools _____

15. Please list the number and/or percentage of **secondary** schools in the country by school type:

- a. Public _____
- b. Independent private schools _____
- c. Government-funded private schools _____
- d. Privately managed schools _____
- e. Voucher schools _____

16. What are the contract renewal terms and time period?

Type	Terms	Time period
Government-funded private schools		
Privately managed schools		
Voucher schools		

17. Please provide a brief summary (a few paragraphs) of the history and interesting features of the country's

- Education system
- Private school sector
- Independent private schools
- Government-funded private schools
- Privately managed schools
- Voucher schools please include if the voucher is universal or targeted if targeted what are the eligibility criteria